Kantara Initiative Overview

Spring 2009
Vision

Ensure secure, identity-based, on-line interactions while preventing misuse of personal information so that networks will become privacy protecting and more natively trustworthy environments.
Mission

Foster identity community harmonization, interoperability, innovation, and broad adoption through the development of open identity specifications, operational frameworks, education programs, deployment and usage best practices for privacy-respecting, secure access to online services
Goals

- Accelerate **marketplace adoption** through clear messages, defined processes, and open community collaboration that brings **vendors, deployers, individuals, and organizations together**
- Bring together **technical, business, legal, and policy experience** to achieve **holistic & trusted identity management solutions**
- Establish an **open and democratic governance model with no financial barrier to participation**
- Implement an **operational structure with nimble processes, procedures, and oversight**, and a **viable financial model**
- Commit to open standards and **encourage interoperable implementations** from both the COTS product and open source development communities
- Foster **positive dialogue** across all relevant organizations to assure **coordination, harmonization, and re-use of all applicable open content** (specs, policy, etc.)
- Establish **programs with strong branding** for technical and operational output to promote **interoperability, compliance and/or conformance**
Principles

- Transparency
- Inclusion
- Empowerment
- Collaboration
- Openness
- Commitment to Proof-of-Concepts
Bicameral Governance Model

Leadership Council

- Made up of representative from every Group (no pay-to-play requirement whatsoever)
- Develop and maintain Operating Procedures
- Approve new and revised Group charters
- Prepare annual and supplemental budget requests to the Board of Trustees for funding all Kantara Initiative activities
- Certify Work Group output for Recommendation ballot (voted on by all Members)
- De-charter Groups that have become dormant
- Collaborates with Board of Trustees (and has two members from the BoT)
Bicameral Governance Model (cont)

Board of Trustees

- Comprised of paying Members who opt to sponsor Kantara Initiative at the highest end of the scale
- Review/approve all budget requests
- Set Member Fee Schedule
- Establish and amend fiduciary Policies including:
  - Bylaws
  - Finance Policy
  - Document Policy
  - Intellectual Property Rights Policy
- Hire and provide oversight of staff
- Direct Marketing and Public Relations
- Provide advice and consent on the Leadership Council defined Operating Procedures
- Collaborate with Leadership Council (and has two representatives from Leadership Council)
The Bi-Cameral Model

Coordination (Members & Non-Members)

Leadership Council

WG 1  DG 1  DG/WG N

Board of Trustees

Member A  Member B  ...  Member N

Participants (Members & Non-Members)

Staff

support  report

kantara INITIATIVE
Group Types

**Work Group**
- Established to produce work by means of a Leadership Council approved charter
- May create formal output: technical specification (for contribution to SSO), white papers, open source code, etc.
- Any Participant is a full voting member of a Work Group (whether they are paying “Members” of Kantara Initiative or not)
- Work Group output can be submitted to the Leadership Council for review, if approved to be aligned with charter, will then be sent to all Members for a vote as a Kantara Initiative Recommendation
- Has a voting representative sit on the Leadership Council

**Discussion Group**
- Formed by coordination with Kantara Initiative staff; no Leadership Council approval is required
- Purpose is to discuss new ideas, birds-of-a-feather topics, the formation of a new Work Group, etc.
- Cannot produce any formal output
Workflow

• **Incubation (Discussion Groups)**
  • Anyone can start and participate
  • Used to gather community support for a new Work Group or Leadership Council funding request

• **Active (Working Groups)**
  • Charter approved by Leadership Council to ensure it complies with goals, purpose, and principals of Kantara Initiative
  • Each charter must include a reference to the IPR agreement it wishes to operate under (a menu of agreements possible is maintained by the BoT)
  • Produces all output that may lead to final Kantara Initiative Recommendations (per vote of full Membership)

• **Complete/inactive/sunset**
  • Once work concludes or becomes inactive, WG is sunset by Leadership Council
Privacy and Public Policy WG (P3WG)

Scope and aims

• Create a compelling forum for privacy and public policy work - including productive engagement with the CPO, policymaker, regulatory and adoption communities.
• Work with other Kantara groups to represent the privacy and public policy perspective, and serve as an ongoing point of reference for privacy/policy-related questions.
• Lead work to define and develop Privacy Management and Privacy Assurance deliverables which complement Kantara's initiatives in Identity Assurance and Identity Governance.
• Liaise and work with projects and organisations outside Kantara to further the same objectives.

Rationale

• Policymakers create the legal privacy landscape we have to inhabit: we ignore them at our peril;
• Adopters (Organizations and their CPOs, users, technologists) define the functional requirements which will lead to better or worse privacy outcomes: we need to exert positive influence on them;
• Regulators are the safeguard against poor legislation and implementation: we have to understand their role and capabilities.
### Initial Proposed Work & Discussion Groups

<table>
<thead>
<tr>
<th>Spaces:</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Information</td>
<td><img src="image1.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed DG - Concordia</td>
<td><img src="image2.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed DG - Identity Community Monitoring and Interface</td>
<td><img src="image3.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed DG - Information Card Foundation</td>
<td><img src="image4.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Clients</td>
<td><img src="image5.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Consumer Identity</td>
<td><img src="image6.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - eGovernment</td>
<td><img src="image7.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Health Identity and Assurance</td>
<td><img src="image8.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Identity Assurance and Accreditation</td>
<td><img src="image9.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - IdP Selector</td>
<td><img src="image10.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - ID-WSF Evolution</td>
<td><img src="image11.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Japan</td>
<td><img src="image12.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Liberty Spec Maintenance</td>
<td><img src="image13.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Multi-Protocol Relying Party</td>
<td><img src="image14.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - Telecommunications Identity</td>
<td><img src="image15.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - User Driven and Volunteered Personal Information Policy</td>
<td><img src="image16.png" alt="Image" /></td>
</tr>
<tr>
<td>Proposed WG - User Driven and Volunteered Personal Information Tech</td>
<td><img src="image17.png" alt="Image" /></td>
</tr>
</tbody>
</table>
Benefits to Participants

- One organization to join, no financial barrier to participation
- Inclusive scope and mission of all solution technologies and operational frameworks
- Global scope, involvement, and reach, with more participants and broader constituency than any single pre-existing .org
- Collaborative environment across disciplines (technical, business, policy, privacy, etc)
- Allows diversity of projects, put into a meaningful context
- Simple & painless process to start work quickly, openly, yet with proven IPR processes and procedures in place
- Leverage trademark programs for interoperability, conformance, compliance, and accreditation
Benefits to Existing Initiatives

- Existing .orgs can join as Members or Trustees to shepherd their activities through the Kantara Initiative process.

- Kantara Initiative WG’s are open to anyone and voting is a right granted to all without requirement of paying membership, so existing organizations can apply for WG status of their existing or new activities.
  - Brings benefit of Kantara Initiative institutional support to that activity.

- On a case-by-case basis, Members who are also solution-developing organizations can negotiate specific shared infrastructure and staffing arrangements, even without any commitments of merging with Kantara Initiative over time (which is always an option but not required).
**Structure**

Leadership Council is formed of the leaders of the WGs and two representatives from the Board of Trustees.

Budget recommendation is created by the Leadership Council with help from staff and submitted to the Board of Trustees for fiduciary review. Everything is transparent.

Board of Trustees comprised of premium paying Members & two elected Leadership Council reps. Fees are tiered, from $15K/year to $50K/year.

Most of the decisions are made within this blue box. At KI, community/group side has much of the power.

Leadership Council

Management

Budget Request

WG 1  WG 2  ⋯  ⋯  ⋯  WG N

Budget Recommendation

Board of Trustees

Annual Fee

Member A  Member B  ⋯  ⋯  ⋯  Member N

Staff

For example: OSIS, IIA, Concordia, Liberty IAF, IGF, etc. WGs can be formed freely without any pay-to-play Membership requirement. Non-paying Participants are first-class citizens within any chartered WG.

It is possible for pre-existing .org’s doing work in the identity space to form a project as a KI WG and receive all the same operational support any other WG would receive. Special funding would have to be negotiated on a case-by-case basis.

Fees are tiered: the fee required for Membership for different size companies are different, from $100/year to $15K/year.
Budget Elements

- Membership dues cover an annual budget that is comprised of two areas:
  - **Fixed (not negotiated each budget cycle)**
    - Core staff & infrastructure
    - Essential common activities
  - **Allocated (negotiated b/w LC and BoT each cycle)**
    - Events
    - Professional services
    - Marketing/PR/AR
    - Open Source development
    - Testing & trademark programs
    - Subject-Matter Experts
    - etc.
Membership Types

- **Participant**
  - No cost, able to participate in all DG’s and have full voting rights in WG’s
  - Must first sign the IPR agreement that a Group operates under

- **Member**
  - Receive a Member discount to attend and participate in interoperability workshops & Kantara Initiative meetings/conferences
  - Vote on the adoption of all final Kantara Initiative Recommendations
  - Listed as a Member on Kantara Initiative’s web site

- **Trustee**
  - All member rights plus a seat on the Board of Trustees (with associated responsibilities) as well as:
    - Exercise fiduciary oversight of Kantara Initiative
    - Listed as a Trustee on the Kantara Initiative web site (premium logo placement)
    - Preferential right of first refusal (prior to other Members) to actively participate in Kantara Initiative’s marketing and promotional activities at trade shows and other industry events
    - Listed as a Trustee in all Kantara Initiative press releases
# Membership Fee Structure

<table>
<thead>
<tr>
<th>Membership Level*</th>
<th>LI</th>
<th>L1</th>
<th>L2</th>
<th>L3</th>
<th>L4</th>
<th>LNP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trustee</td>
<td>15k</td>
<td>15k</td>
<td>15k</td>
<td>30k</td>
<td>50k</td>
<td>15k</td>
</tr>
<tr>
<td>Member</td>
<td>100</td>
<td>1k</td>
<td>5k</td>
<td>10k</td>
<td>15k</td>
<td>0</td>
</tr>
<tr>
<td>Participant</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

*All Membership Fees are Annual, in USD.

*Membership Levels are defined as follows:
- LI = Individual
- L1 = Level "0" (1-100 employees)
- L2 = Level 1 (101-1000 employees)
- L3 = Level 2 (1001-25000 employees)
- L4 = Level 3 (>25000 employees)
- LNP = Non-Profit
Thank You